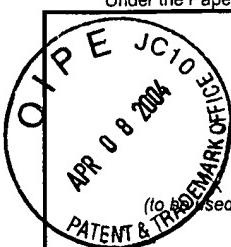


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## TRANSMITTAL FORM

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		Application Number	10/623,434
		Filing Date	July 17, 2003
		First Named Inventor	Yuli Zhou
		Group Art Unit	3624
		Examiner Name	
Total Number of Pages in This Submission	8	Attorney Docket Number	74577-060
<b>ENCLOSURES (check all that apply)</b>			
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____  <div style="border: 1px solid black; padding: 2px; margin-top: 5px;">Remarks</div>	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> ) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>PTO 1449 Form</b>

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	John C. Stellabotte, Reg. No. 47,969 <b>PROSKAUER ROSE LLP</b>
Signature	
Date	April 6, 2004

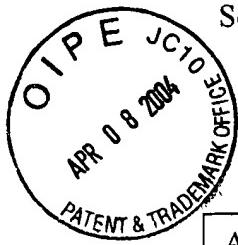
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of	:	Yuli ZHOU et al.
Serial No.	:	10/623,434
Filing Date	:	July 17, 2003
Entitled	:	SYSTEM AND METHOD FOR AUTOMATED TRADING

**INFORMATION  
DISCLOSURE  
STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.56 the Applicants submit herewith a copy of the International Search Report and a PTO-1449 form listing the references cited in the International Search Report. The International Search Report issued in connection with a PCT patent application that is related to the above-identified U.S. patent application.

It is requested that the references cited in the accompanying PTO-1449 form be considered herein. This Information Disclosure Statement is filed within three months of the mailing date of March 26, 2004 of the International Search Report and before the issuance of a first Office Action on the merits, therefore, no fee is required. If any fee is due, please charge Deposit Account 16-2500.

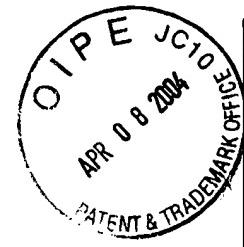
Respectfully submitted  
PROSKAUER ROSE LLP  
Attorneys for the Applicant(s)

Date: April 6, 2004

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By John C. Stellabotte  
John C. Stellabotte  
Reg. No. 47,969

Enclosures: International Search Report, PTO-1449



Form PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAttorney Docket No.  
**74577-060**Serial No.  
**10/623,434**

Applicant

**Yuli ZHOU et al.**Filing Date  
**July 17, 2003**Group Art  
Unit  
**3624**

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

### U.S. PATENT DOCUMENTS

Examiner Initial	Document Number							Date	Name	Class	Sub Class
	6	1	1	2	1	8	9	8/29/00	Rickard et al.		
	6	0	2	9	1	9	5	2/22/00	Herz		
	4	9	8	0	8	2	6	12/25/90	Wagner		
	5	2	9	7	0	3	2	3/22/94	Trojan et al.		

### FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub Class	Translation	
						Yes	No

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP§60 : Draw line through citation if not in conformance and not considered, include copy of this form with next communication to the patent owner.